

# CDC's commitment to Anti-Money Laundering

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## CDC's Commitment to Anti-Money Laundering

CDC and CDC's staff members maintain compliance with UK and European Anti-Money Laundering law, regulation and applicable international best practices, in all of its offices.

CDC is committed to having appropriate systems and controls in place to counter the risk that it might be used to further financial crime. As such, all CDC staff members receive training on Anti-Money Laundering on an annual basis to enable them to recognise and report suspicions of money laundering and protect CDC from such risks.

CDC has appointed a Money Laundering Reporting Officer who is the firm's first point of contact for reporting Anti-Money Laundering matters. The Money Laundering Reporting Officer will consider all internal reports and make an external report to the National Crime Agency, should he consider that there are reasonable grounds for reporting.

CDC will take appropriate steps before making any investment to confirm:

- ✦ The identity of the customer(s) with whom it proposes to transact;
- ✦ The identity of the ultimate beneficial owner(s) if different to the above;
- ✦ The details of the ownership and control structure of the customer;
- ✦ The purpose and intended nature of the business relationship.

Suspicions or "red flags" identified during the due diligence process will not be ignored. CDC's policy is to remain alert to, and report any suspicious activity to the Money Laundering Reporting Officer.

CDC promotes "Know Your Customer" practices as a key principle for CDC's business integrity and ethical best practice, fosters a controlled environment where business will not be transacted until all due diligence information has been obtained and regularly assesses the adequacy of its systems and controls to ensure that they appropriately mitigate the company's money laundering risk and reflect any legal or regulatory developments.

Ongoing monitoring is conducted throughout the lifetime of an investment to ensure that the transactions are consistent with CDC's knowledge of the Customer and their business.



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